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 <b>TRANSMITTAL FORM</b>	Attorney Docket No. <b>NGI002/3071P</b>
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In re the application of **Pavan O. GUPTA et al.** Confirmation No: **To Be Assigned**

Serial No: **10/787,509**

Group Art Unit: **2872**

Filed: **February 25, 2004**

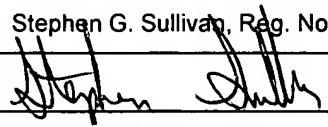
Examiner: **To Be Assigned**

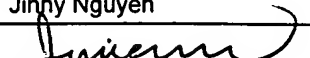
For: **Large Cavity Wafer-Level Package for MEMS**

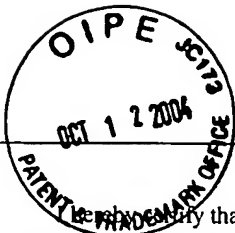
ENCLOSURES (check all that apply)					
<input type="checkbox"/>	Amendment/Reply	<input type="checkbox"/>	Assignment and Recordation Cover Sheet	<input type="checkbox"/>	After Allowance Communication to Group
<input type="checkbox"/>	After Final	<input type="checkbox"/>	Part B-Issue Fee Transmittal	<input type="checkbox"/>	Notice of Appeal
<input checked="" type="checkbox"/>	Information disclosure statement	<input type="checkbox"/>	Letter to Draftsman	<input type="checkbox"/>	Appeal Brief (in triplicate)
<input type="checkbox"/>	Form 1449	<input type="checkbox"/>	Drawings	<input type="checkbox"/>	Status Letter
<input type="checkbox"/>	(X) Copies of References	<input type="checkbox"/>	Petition	<input checked="" type="checkbox"/>	Postcard
<input type="checkbox"/>	Extension of Time Request *	<input type="checkbox"/>	Fee Address Indication Form	<input type="checkbox"/>	Other Enclosure(s) (please identify below):
<input type="checkbox"/>	Express Abandonment	<input type="checkbox"/>	Terminal Disclaimer		
<input type="checkbox"/>	Certified Copy of Priority Doc	<input type="checkbox"/>	Power of Attorney and Revocation of Prior Powers		
<input type="checkbox"/>	Response to Incomplete Appln	<input type="checkbox"/>	Change of Correspondence Address		
<input type="checkbox"/>	Response to Missing Parts	*Extension of Term: Pursuant to 37 CFR 1.136, Applicant petitions the Commissioner to extend the time for response for xxxxx month(s), from to .			
<input type="checkbox"/>	Executed Declaration by Inventor(s)				

CLAIMS					
FOR	Claims Remaining After Amendment	Highest # of Claims Previously Paid For	Extra Claims	RATE	FEE
Total Claims	40	40	0	\$9.00	\$ 0.00
Independent Claims	5	5	0	\$44.00	\$ 0.00
				Total Fees	\$ 0.00

METHOD OF PAYMENT	
<input type="checkbox"/>	Check no. _____ in the amount of \$ _____ is enclosed for payment of fees.
<input type="checkbox"/>	Charge \$ _____ to Deposit Account No. _____ (Account Holder Name) for payment of fees.
<input checked="" type="checkbox"/>	Charge any additional fees or credit any overpayment to Deposit Account No. 02-2120 (Sawyer Law Group LLP).

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Attorney Name	Stephen G. Sullivan, Reg. No. 38,320
Signature	
Date	October 8, 2004

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <b>October 8, 2004</b>	
Type or printed name	Jinny Nguyen
Signature	

CERTIFICATE OF MAIL

It is hereby certified that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 8, 2004.

  
Jinly NguyenIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: October 8, 2004

Pavan O. GUPTA et al.

Confirmation No.: To Be Assigned

Serial No.: 10/787,509

Group Art Unit: 2872

Filed: February 25, 2004

Examiner: To Be Assigned

For: LARGE CAVITY WAFER-LEVEL PACKAGE FOR MEMS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 C.F.R. §1.97**

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record. This Information Disclosure Statement is being submitted:

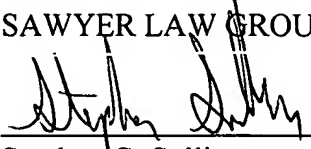
- ☐ With copies.
- ☐ Without copies. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial No. \*\*, filed \*\*. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609 (A)(2).
- ☒ This Information Disclosure Statement is being submitted with only copies of non-U.S. patent publication(s) and non-patent literature. This protocol conforms with 37 CFR 1.98(a)(2)(i), which waives the requirement for submitting a copy of each cited U.S. Patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003.

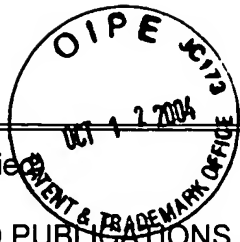
- ☒ Within three months of the application filing date, or before mailing of a first Office Action on the merits.
  - ☐ After receipt of a first Office Action on the merits, but before the mailing date of a Final Office Action under §1.113, or a Notice of Allowance §1.311. Accompanied by one of:
    - ☐ Certification under 37 CFR §1.97(e); or
    - ☐ The fee set forth in §1.17(p)
  - ☐ After mailing of a final Office Action or Notice of Allowance, but on or before payment of the issue fee. Accompanied by:
    - ☐ Certification under 37 CFR §1.97(e); and
    - ☐ The fee set forth in §1.17(p)
  - ☐ A Certification under 37 C.F.R. §1.97(e) is provided below:
    - ☐ I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- or-
- ☐ I hereby certify that no item of information was cited in a communication from a foreign patent office in a counterpart foreign application or, to the best of my knowledge after making a reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below. The Commissioner is hereby authorized to charge any fees associated with this communication, or credit any overpayment, to Deposit Account No. 02-2120 (Sawyer Law Group LLP).

October 8, 2004  
Date

Respectfully submitted,  
SAWYER LAW GROUP LLP  
  
\_\_\_\_\_  
Stephen G. Sullivan  
Attorney for Applicant(s)  
Reg. No. 38,329  
(650) 493-4540



FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

NGI002/3071P

SERIAL NO.

10/787,509

APPLICANT:

Pavan O. GUPTA et al.

FILING DATE:

February 25, 2004

GROUP:

2872

## REFERENCE DESIGNATION

## U.S. PUBLISHED DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	US 2002/0088988	07/11/02	Silverbrook	257	99	
	US 2002/0097952	07/25/02	Jin et al.	385	18	
	US 2002/0172451	11/21/02	Gupta et al.	385	16	
	US 2002/0176651	11/28/02	Scott et al.	385	16	
	US 2003/0211654	11/13/03	Kocian et al.	438	116	
	US 2003/0104651	06/05/03	Kim et al.	438	106	
	US 2003/0179986	09/25/03	Martin et al.	385	18	

## FOREIGN PATENT DOCUMENTS

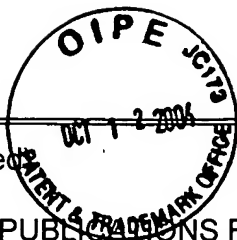
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
		6	3	2	6	6	8	2	12/04/01	Kurtz et al.	257	678	
		6	3	4	7	1	6	7	02/12/02	Hagelin	385	18	
		6	3	7	4	0	0	7	04/16/02	Hagelin et al.	385	17	
		6	3	9	6	9	7	3	05/28/02	Hagelin et al.	385	17	
		6	4	3	0	3	3	1	08/06/02	Hagelin et al.	385	17	
		6	4	3	0	3	3	0	08/06/02	Hagelin	385	17	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER

DATE CONSIDERED

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FORM PTO-1449 (Modified 11/12/2004)

LIST OF PATENTS AND PUBLICATIONS FOR  
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DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
3071P

SERIAL NO.  
10/787,509

APPLICANT:  
Pavan O. GUPTA et al.

(Use several sheets if necessary)

FILING DATE:  
February 25, 2004

GROUP:  
2872

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	6 6 0 3 1 8 2	08/05/03	Low et al.	257	432	
	6 6 2 7 8 1 4	09/30/03	Stark	174	52.3	
	6 4 3 3 4 1 1	08/13/02	Degaini et al.	257	678	
	6 6 6 0 5 6 4	12/09/03	Brady	438	119	
	6 4 5 2 2 3 8	09/17/02	Orcutt et al.	257	415	
	6 5 1 9 0 7 5	02/11/03	Carr et al.	359	291	
	6 6 6 1 0 8 4	12/09/03	Peterson et al.	257	680	

## FOREIGN PATENT DOCUMENTS

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